



PATENT

## NYDEGGER & ASSOCIATES

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Dennis O'Brien	)
Serial No.:	10/618,987	) Art Unit
Filed:	July 14, 2003	) 3731
For:	ANCHORED PTCA BALLOON	)
Examiner:	Unknown	)
Attorney Docket:	10745.148	)

### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of the above-captioned application, or date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits whichever occurs last. 37 CFR 1.97(b).

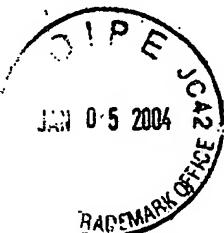
The Commissioner is hereby authorized to charge payment of any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 14-1519. A duplicate of this request is enclosed.

Respectfully submitted this 30<sup>th</sup> day of December, 2003.



NEIL K. NYDEGGER  
Attorney for Applicant  
Registration No. 30,202

Transmitted: Information Disclosure Statement; PTO Form 1449;  
and 22 references.



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INFORMATION DISCLOSURE STATEMENT

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The following sections are being submitted for this Information Disclosure Statement:

1. X Preliminary Statements
2. X FORM PTO - 1449 (Modified)
3.        Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies are Submitted Or Need be Submitted.
4. X Copies of Listed Information Items Accompanying This Statement.
5.        Concise Explanation of Non-English Language Listed Information Items.
6.        Translation(s) of Non-English Language Documents.
7.        Certification Under 37 C.F.R. §1.97.(e).
8.        Fee as required under 37 C.F.R. §1.17(p).
9.        Petition requesting consideration of Statement and Petition Fee as set forth in 37 C.F.R. §1.17(i)(1).
10. X Identification of Person(s) Making This Information Disclosure Statement.

## **Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.



FORM PTO-1449 (Modified)  
U.S. Dept of Commerce  
Patent and Trademark Office

List Submitted in Accordance  
with 37 CFR § 1.98 (a)(1)

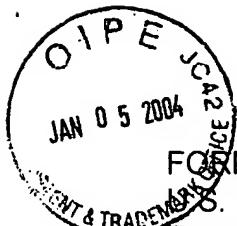
Attorney Docket: 10745.148  
Serial No.: 10/618,987  
Applicant: Dennis O'Brien  
Filing Date: July 14, 2003  
Group No.: 3731

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Patentee</u>
— AA	3,746,003	07/17/73	Blake et al.
— AB	3,833,004	09/03/74	Vazquez et al.
— AC	3,995,623	12/07/76	Blake et al.
— AD	5,009,659	04/23/91	Hamlin et al.
— AE	5,112,305	05/12/92	Barath et al.
— AF	5,242,397	09/07/93	Barath et al.
— AG	5,308,325	05/03/94	Quinn et al.
— AH	5,329,942	07/09/94	Gunther et al.
— AI	5,423,745	06/13/95	Todd et al.
— AJ	5,439,444	08/08/95	Andersen et al.
— AK	5,484,412	01/16/96	Pierpont
— AL	5,487,730	01/30/96	Marcadis et al.
— AM	5,527,292	06/18/96	Adams et al.
— AN	5,569,195	10/29/96	Saab

Examiner: Date Considered:

\* EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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U. S. Dept of Commerce  
Patent and Trademark Office

List Submitted in Accordance  
with 37 CFR § 1.98 (a)(1)

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Group No.: 3731

#### U.S. Patent Documents

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—	AO	5,599,306	02/04/97	Klein et al.
—	AP	5,620,418	04/15/97	O'Neill et al.
—	AQ	5,807,326	09/15/98	O'Neill et al.
—	AR	6,562,062 B2	05/13/03	Jenusaitis et al.
—	AS	2001/0001812A1	05/24/01	Valley et al.
—	AT	2003/0032973A1	02/13/03	Jenusaitis et al.

#### Foreign Patent Documents

	<u>Document Number</u>	<u>Pub. Date</u>	<u>Country</u>	<u>Translation</u>
—	AU EP 0359489 A2	03/21/90	EPO	Yes
—	AV WO 01/97895A2	12/27/01	PCT	Yes

#### Other Documents

(including Author, Title, Pertinent Pages, date and place of publication)

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A

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

\* EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



**Section 4. Copies of Listed Information Items Accompanying This Information Disclosure Statement.**

Legible copies of **all** items listed below accompany this Information Disclosure Statement.

<u>Patent No.</u>	<u>Date</u>	<u>Name</u>	<u>Country</u>
3,746,003	07/17/73	Blake et al.	USA
3,833,004	09/03/74	Vazquez et al.	USA
3,995,623	12/07/76	Blake et al.	USA
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5,807,326	09/15/98	O'Neill et al.	USA
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2001/0001812A1	05/24/01	Valley et al.	USA
2003/0032973A1	02/13/03	Jenusaitis et al.	USA
EP 0359489 A2	03/21/90	Bacich et al.	EPO
WO 01/97895A2	12/27/01	Halevy et al.	PCT



**Section 10. Identification of Person(s) Making this Information Disclosure Statement.**

The person making this statement is

(a)        the inventor(s) who signs below

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SIGNATURE OF INVENTOR

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Name of Inventor

(b) X the attorney who signs below on the basis of:

X the information supplied by the inventor(s)  
       an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))  
X the information in the attorney's file



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CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this Information Disclosure Statement (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, with sufficient postage as first class mail pursuant to 37 CFR 1.8(a) on this 30<sup>th</sup> day of December, 2003.



TARYLL. ANDERSEN  
Administrator